# City of North Battleford



# Council & Council Committees Code of Ethics Bylaw No. 2120

Adopted April 25, 2022



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# CITY OF NORTH BATTLEFORD SASKATCHEWAN BYLAW NO. 2120

#### A BYLAW OF THE CITY OF NORTH BATTLEFORD, IN THE PROVINCE OF SASKATCHEWAN, TO ESTABLISH A CODE OF ETHICS APPLICABLE TO ALL MEMBERS OF COUNCIL AND MEMBERS APPOINTED TO COMMITTEES OF COUNCIL.

WHEREAS pursuant to Section 66.1(1) of *The Cities Act*, a Council shall, by bylaw, adopt a code of ethics that applies to all Members of Council;

WHEREAS pursuant to Section 66.1(2) of *The Cities Act,* requires that the code of ethics must define the standards and values that Council expects Members of Council to comply with in their dealings with each other, employees of the city and the public;

WHEREAS pursuant to Section 66.1(3) of *The Cities Act,* no Member of Council shall fail to comply with the City's code of ethics;

WHEREAS pursuant to Section 66.1 of *The Cities Act* requires Council to include in the code of ethics the model code of ethics set out in *The Cities Regulations* and a process for dealing with contraventions of the code;

WHEREAS pursuant to Section 66.1 of *The Cities Act* permits Council to include policies, rules and guidelines regarding a Member of Council accepting gifts or other benefits in connection with that Members holding of office;

WHEREAS pursuant to Section 66.1 of *The Cities Act* permits Council to include rules regarding the suspension of a Member of Council who has contravened the code of ethics;

WHEREAS pursuant to Section 66.1 of *The Cities Act* permits Council to include any other statements of ethics and standards determined to be appropriate by Council;

WHEREAS Members of Council of the City of North Battleford recognize that their actions affect the lives of all residents and property owners in the City and that fulfilling their obligations and discharging their duties responsibly requires a commitment to the highest ethical standards;

WHEREAS Members of Council recognize their obligation to serve the community in a conscientious and diligent manner, recognizing that as leaders of the community they are held to a higher standard of behaviour and conduct;

WHEREAS Members of Council recognize that the quality of public administration and governance of the City, as well, as its reputation and integrity, depend on their conduct as elected officials;

WHEREAS the establishment of a code of ethics for Members of Council reflects the City's corporate values of collaboration, communication, integrity, respect, trust, professionalism and accountability;

WHEREAS ethics and integrity are at the core of public confidence in government and in the democratic process, and Members of Council are expected to perform their duties of office and arrange their private affairs in a manner that promotes public confidence, avoids conflicts of interest, and avoids the improper use or the appearance of improper use of influence of their office;

WHEREAS public confidence requires Members of Council to uphold both the letter and the spirit of the law, including policies adopted by Council; and,

WHEREAS a code of ethics ensures that Members of Council share a common understanding of acceptable conduct extending beyond the legislative provisions governing their conduct as set out in *The Cities Act*, *The Local Government Election Act*, *The Local Authority Freedom of Information and Protection of Privacy Act*, and the *Criminal Code*;

NOW, THEREFORE, the Council of the City of North Battleford in the Province of Saskatchewan, enacts as follows:

#### PART I General

# Short Title

1. This Bylaw may be cited as *The Council & Council Committees Code of Ethics Bylaw*.

#### **Purpose and Interpretation**

- 2. 1) The purpose of this Bylaw is to outline the expected ethical standards and values for all members of Council and individuals appointed by City Council to any Committee, Commission or Board of Council.
  - 2) This Bylaw is to be used to guide behaviour respecting obligations when fulfilling duties and responsibilities as elected officials or as City Council appointed members of a Board or Committee
  - 3) This Bylaw also establishes and provides a procedure for the investigation and enforcement of the expected standards and values.
  - 4) This Bylaw must be interpreted in accordance with the applicable legislation, the common law, and the policies and bylaws of the City.

4) Neither the law nor this Bylaw is to be interpreted as exhaustive, and there will be occasions on which Council will find it necessary to adopted additional rules of conduct in order to protect the public interest and to enhance the public confidence and trust in local government.

# Definitions

- 3. 1) In this Bylaw,
  - a) "Act" means The Cities Act.
  - b) **"Business day"** means any day except any Saturday, Sunday, or Statutory Holiday.
  - c) **"Campaign period"** means the 90-day period preceding a general election or a by-election.
  - d) **"City Clerk"** means the person appointed as City Clerk pursuant to section 85 of *The Cities Act* and includes a person acting his or her designate.
  - e) "City Manager" means the person appointed as the administrative head of the City of North Battlefords pursuant to section 84 of *The Cities Act* and includes a person acting as his or her designate.
  - f) "City resources" includes City-owned, City-supplied or City-paid cell phones, smart phones, computers, other electronic devices, phone number, email address, website, business cards, official photograph, facilities, equipment, records, supplies, services, staff time, and the City crest.
  - g) **"Committee"** means a committee, board, or other body duly *appointed* by Council.
  - h) **"Committee of the Whole"** means all members of Council present at a meeting of Council sitting in committee.
  - i) **"Complainant"** means an individual, either personally or on behalf of an organization, or Member of Council submitting a complaint.
  - j) **"Confidential information"** means information that may be considered in camera by Council or Council Committees pursuant to *The Cities Act* and falls within the exemptions in Part III of *The Local Authority Freedom of Information and Protection of Privacy Act*.
  - Council" Council for the City of North Battleford elected pursuant to the provisions of section 11 of *The Local Government Elections Act*, as the governing body of the municipality.
  - I) **"Director"** City of North Battleford Departmental head and includes a person acting as his or her designate.
  - m) **"Election campaign"** means all activities related to the re-election of a member and not to their duties under *The Cities Act* as a member.
  - n) **"Election day"** means election day as defined in *The Local Government Election Act.*

- o) **"Family"** means the member's spouse, partner, child, parent, sibling, in law, and stepparent, child, or sibling.
- p) "Gift or personal benefit" means an item or service of value that is received by a member for their personal use. It includes money, gift cards, tickets to events, clothing, jewelry, pens, discounts/rebates on personal purchases, entertainment, participation in sport and recreation activities, and invitations to social functions.
- q) "In Camera" means the absence of the public or confidential.
- r) **"Integrity Commissioner"** means the person or persons appointed by the City to fulfill the duties and responsibilities assigned to that office pursuant to this Bylaw.
- s) **"Member"** means the Mayor, Councillor or an appointed individual to a committee, commission, or board of Council.
- t) **"Nomination day"** means nomination day as defined in *The Local Government Election Act.*
- u) **"Non-Resident"** an individual or organization residing outside of the municipal boundaries but in the Province of Saskatchewan.
- v) **"Personal information"** means personal information about an identifiable individual that is recorded in any form, as further specified in section 23 of *The Local Authority Freedom of Information and Protection of Privacy Act.*
- w) **"Resident"** an individual, property owner, or Member of Council eligible to vote in a City of North Battleford local election; and therefore, must be:
  - a) a Canadian Citizen;
  - b) at least 18 years of age;
  - have resided in the Province of Saskatchewan for at least six (6) consecutive months immediately preceding the day of the complaint's submission; and:
    - (i) Has resided in the municipal boundaries, or on land now in the municipal boundaries for at least three consecutive months immediately preceding the day of the complaints submission; or,
    - (ii) is the owner of assessable land in the municipality, or land now situated in the municipality, for at least three consecutive months immediately preceding the day of the complaints submission.
- x) Social Media" shall mean any third-party hosted, web-based, and mobile technologies that allow the creation and exchange of user-generated content to share opinions, information, promote discussion, and build relationships. These include, but are not limited to, blogging, digital applications, document-sharing, forums and discussion boards, photo sharing, social networking, and video sharing.
- y) **"Staff"** means any staff member of the City, whether full time, part time, contracted, seasonal or volunteers.

2) Words and terms not defined in this Bylaw shall have the same meanings provided in *The Cities Act.* 

# Application

- 4. 1) This Bylaw applies to all members of Council, and any other Board or Committee member appointed by City Council pursuant to section 66.1(6)(a) of *The Cities Act.* 
  - 2) In the event of a conflict between this Bylaw and another City Bylaw or Policy governing member conduct, this Bylaw governs.
  - This Bylaw does not apply to contraventions of sections 114 119 of The Cities Act.
  - 4) This Bylaw does not apply to Schedule "A" submissions received by the City prior to the date this Bylaw comes into force.
  - 5) This Bylaw continues to apply to a member throughout an election campaign period and during any paid or unpaid leave of absence while elected to office.

#### PART II Standards and Values

5. 1) Members must uphold the standards and values set out in this Bylaw.

# Honesty & Integrity

- 6. 1) Members shall demonstrate integrity by the following conduct:
  - a) be truthful, honest and open in their roles as members of Council with other members, City Staff, the public and the community they serve;
  - b) ensure that their actions are consistent with the shared principles and values collectively agreed to by the majority of Council; and,
  - c) follow through on their commitments, correct errors in a timely and transparent manner, and engage in positive communication with the community.

# Objectivity

7. Members of Council shall make decisions carefully, fairly, and impartially.

# Respect

- 8. 1) Members shall treat every person, including other City staff, and the public, with dignity, understanding, and respect.
  - 2) Members shall show consideration for every person's values, beliefs, experiences and contributions to discussions.
  - 3) Members shall not engage in behaviour that is indecent, insulting, or abusive, nor use derogatory language towards others, in their roles as members of Council.

- 4) Members shall:
  - a) respect the rights of other people and groups;
  - b) treat people with courtesy; and
  - c) recognize the importance of the different role's others play in local government decision-making.

#### **Transparency and Accountability**

- 9. 1) Members shall endeavor to conduct and convey Council business and all their duties in an open and transparent manner, other than those discussions that are authorized to be dealt with in a confidential manner in an in camera session, so that stakeholders can view the process and rationale used to reach decisions and the reasons for taking certain actions.
  - 2) Members are responsible for the decisions they make including acts of commission and acts of omission.
  - 3) Members shall listen to and consider the opinions and needs of the community in all decision-making and allow for appropriate opportunities for discourse and feedback.

#### Confidentiality

- 10. 1) Members shall refrain from disclosing or releasing any confidential information acquired by virtue of their office except when required by law or authorized by Council to do so.
  - 2) Members shall not take advantage of or obtain private benefit from information:
    - a) obtained in the course of, or as a result of, their official duties or position; and,
    - b) not in the public domain.
  - 3) Members shall comply with *The Local Authority Freedom of Information and Protection of Privacy Act* in their capacity as members of Council.

#### Leadership and the Public Interest

- 11. 1) Members shall serve their constituents in a conscientious and diligent manner and act in the best interests of the City.
  - 2) Members shall strive to build and inspire the public's trust and confidence in local government by focusing on issues important to the community and demonstrating leadership.
  - 3) Members shall consider the issues before them and make decisions as a collective body recognizing the democratic majority, and acknowledging its rationale, when articulating personal opinions on a decision of Council.
  - 4) Members are expected to perform their duties in a manner that will bear close public scrutiny and shall not provide the potential or opportunity for personal benefit, wrongdoing, or unethical conduct.

# Responsibility

- 12. 1) Members shall act responsibly and in accordance with the Acts of the Parliament of Canada and the Legislature of Saskatchewan, including *The Cities Act.* 
  - 2) Members shall disclose actual or potential conflicts of interest, either financial or otherwise relating to their responsibilities as members of Council, follow policies and procedures of the City, and exercise all conferred powers strictly for the purpose for which the powers have been conferred.
  - 3) Each member is responsible to prevent potential and actual conflicts of interest.

#### PART III Ethical Conduct, Roles, and Duties DIVISION I

#### Transparency, Accountability and Good Governance

- 13. 1) Members shall endeavor to conduct and convey City business and all their duties in an open and transparent manner, other than for those matters that by virtue of legislation members are authorized to deal with in a confidential manner in camera.
  - 2) A member must in the discharge of their office:
    - a) Act in the best interests of the City taking into account the interests of the City as a whole, and without regard to the member's personal interests;
    - b) Consider all decisions and issues thoughtfully, consistently, impartially, and fairly by considering all relevant facts, opinions, and perspectives;
    - c) Bring to the attention of Council any matter that would promote the welfare or interest of the City;
    - d) Act competently and diligently; and,
    - e) Attend Council meetings and vote on any matter brought to a Council meeting attended by the member unless the member must abstain under *The Cities Act*, another enactment or at law.
  - 3) A member must attend all training to be provided to members as directed by Council and during Council Orientation.
  - 4) The training directed by Council will include a mandatory ethical conduct and conflict of interest course, presented by the Integrity Commissioner, to be held within one (1) month of the first meeting of Council following the general election.
  - 5) Upon notice to Council, the City Clerk may extend the deadlines described in subsection 4.

# DIVISON II Privacy

# **Confidential Information**

- 14. 1) A member must protect confidential information. This includes the following duties:
  - a) a member must not disclose confidential information, including to City staff, or to persons outside the City, except as authorized by Council;
  - b) a member must not use confidential information with the intention to cause harm or detriment to Council, the City or any other person or body;
  - c) a member must protect confidential information from inadvertent disclosure;
  - d) a member must use confidential information only for the purpose for which it is intended to be used;
  - e) a member must take reasonable care to prevent the examination of confidential information by unauthorized individuals; and
  - f) a member must not take personal advantage of, or use for their own benefit, corporate or financial opportunities learned about through confidential information.
  - 2) A member who is appointed to the board of another organization, in their role as a member may report all permitted information from that board to all Committees of the Whole and Council.
  - 3) By virtue of their office, in either oral or written form, members may only use, collect, and disclose information in accordance with *The Local Authority Freedom* of *Information and Protection Act.*
  - 4) A member must only access and use City information during the normal course of their duties.
  - 5) A member must retain records and other information in accordance with best practices and must respond in good faith to all requests for information made pursuant to *The Local Authority Freedom of Information and Protection of Privacy Act.*

#### **Personal Information**

15. 1) By virtue of their office, a member must comply with the *Local Authority Freedom of Information and Protection of Privacy Act,* ensuring all reasonable and necessary measures are taken to protect the personal or private information of individuals.

#### DIVISION III Respectful Conduct

# **Respectful Conduct of Members**

- 16. 1) Members shall speak to members of Parliament, other members of Council, City staff and the public respectfully, without abuse, bullying, or intimidation to ensure the work environment is free from discrimination and harassment in accordance with the City's Harassment Policy, the Human Rights Code and relevant legislation.
  - 2) All communication shall be delivered in a respectful, cooperative, nonthreatening, and non-offensive manner with a display of respect for the opinion of others.
  - 3) Members shall encourage public respect for:
    - a) Council as a whole;
    - b) other members individually;
    - c) the City as an organization;
    - d) City staff;
    - e) City Bylaws; and,
    - f) City Policies.

#### **Professional Codes of Conduct**

17. 1) Members of Council with professional affiliations, such as accountants, lawyers, engineers, auditors, etc., may be subject to more than one Code of Ethical Conduct. If a situation arises that may cause conflict or confusion, the member shall consult with the City Manager or the City Clerk.

# **Council and Committee Meeting Conduct**

- 18. 1) Members will exercise their authority to make decisions in a manner that demonstrates fairness, respect for differences, and an intention to work together for the common good and in the public interest.
  - 2) Members will prepare for meetings by reviewing materials in advance, if possible, and will be respectful and attentive to, and ask informed questions of, the public and City staff providing information at a Council or Council Committee meeting.
  - Members will not provide information contained in records or documents at a meeting unless those records or documents have been provided to all of Council in advance.
  - 4) Members will make all reasonable effort to attend and participate in diligently, all Council and Council Committee meetings, as well as meetings of any other body the member is appointed to on behalf of Council.

- 6) Members will treat all persons equal in the performance of his or her official duties and, shall refrain from giving preferential treatment to any person, group, or organization in accordance with the City of North Battleford's *Charter of Values*.
- 7) Members who act as chair of a meeting will, at the start and throughout the meeting, set expectations for appropriate decorum and conduct for all in attendance.
- 8) Members shall not:
  - a) speak on any subject other than the subject in debate;
  - b) Use offensive or vulgar language;
  - c) disclose the content of confidential matters or the substance of the deliberations of the in camera meeting;
  - d) disobey the Rules of Procedure, or a decision of the Mayor or of Council on questions of order or practice or upon the interpretation of the Rules of Procedure.
  - e) engage in personal attacks or outbursts of an emotional or frustrated nature;
  - f) display inappropriate or exaggerated facial gestures, or engage in inappropriate gestures;
  - g) engage in sidebar conversations unless relevant to the topic of discussion during a meeting or seeking clarification on an issue. Such conversations must be kept quiet with little or no public display.

# Council-Staff Protocol

- 19. 1) Members must direct queries and obtain all necessary information regarding the operations and administration of the City from the City Manager using processes established by the City Manager.
  - 2) Members who receive complaints and/or requests for operational services or assistance shall direct the individual to Service Tracker on the City's website or to the appropriate department for assistance and advise the City Manager.
  - 3) Members of Council appointed to any board, commission or committee separate of Council and a Committee of the Whole, may submit requests for information to the appropriate Director overseeing the respective board, commission, or committee, copying the City Manager.
  - 4) Members will respect the role of City staff to provide neutral and objective information without undue influence and interference.
  - 5) A member must not use, or attempt to use, their office for the purpose of intimidating, influencing, threatening, coercing, or directing City staff.

- 6) Members must not request City staff to undertake personal or private work on behalf of the member or accept such work from City staff.
- 7) A member must not demand City staff to engage in partisan or political activities at any level of government or subject any City staff to reprisal for a refusal to engage in such activities.
- 8) A member must not subject any City staff to reprisal for any engagement in partisan or political activities, at any level of government, which is done in their personal capacity.
- 9) Members must not participate in any way or attempt to influence the hiring of any City staff.
- 10) If serving as a reference or recommending an individual for employment with the City, a member must comply with the City's hiring practices and must not use their role as an elected official to unfairly influence any hiring decisions.
- 11) A member must not attempt to use a relationship with a family member who is also an employee with the City for the member's personal gain or benefit.

#### Public, Media, and Social Media Relations

- 20. 1) That unless otherwise authorized, the Mayor is the Chief Spokesperson for Council related to issues of governance, long term planning, major changes in operations and other decisions made by City Council.
  - 2) Members of Council must remain aware of commentary published on social media surrounding matters related to City business and issues before Council so as not to prejudice the public process when commenting.
  - 3) When commenting on matters relating to City business, members of Council must ensure that confidentiality is protected in accordance with the *Local Authority Freedom of* Information *and Protection of Privacy Act* at all times.
  - 4) That the conduct of members on social media remains consistent with their obligations as an elected official for the municipality, including any relevant legislation, City Bylaws and Policies.
  - 5) Without limiting the ability of a member to hold a position on an issue and respectfully express their opinions, members must accurately communicate the decisions of Council to ensure respect and integrity in the decision-making processes of Council.
  - 6) Subject to sections 19 and 20, members of Council must not respond to a formal inquiry, comments from the media, social media, or complaints from a third party regarding the City of North Battleford unless it is within the scope of their position to do so.
  - 7) Members of Council may use their social media profiles as a secondary City of North Battleford information source only, after information has been officially released by the City, including the sharing of information released via the City's website and social media accounts.

9) If a member becomes aware of or receives an inquiry that is specific to another member, the member will refer the matter to the other member or request that the inquiring individual contact the other member or the Mayor.

#### DIVISION IV Property

# Personal Gain, Gifts, and Benefits

- 21. 1) Members must not accept a fee, advance, loan, gift, or personal benefit that is connected directly or indirectly to the members duties, except as follows:
  - a) compensation authorized by law, including compensation for serving on external bodies as a Council-approved City representative;
  - b) received as a normal or necessary incident to fulfilling the member's duties;
  - c) received as an incident of protocol or social obligation, provided that the value of the gift or benefit does not exceed \$250.00;
  - d) received in relation to a person's volunteer time or activities provided to further the interests of the City;
  - e) a suitable memento of a function honouring the member;
  - f) lodging, transportation, and entertainment provided by provincial, regional, and local governments or political subdivisions of them, by the Federal government or by a conference, seminar, or event organizer where the member is either speaking or attending in an official capacity.
  - g) reimbursement of expenses associated with travel to and attendance at a conference or other event where the member is speaking or attending in an official capacity; or
  - h) Non-cash gifts from vendors not exceeding \$250.00 including but not limited to meals, gifts, fruit baskets, flowers or sporting or other event tickets.
  - i) tickets to meals, banquets, receptions, sporting events, or similar activities exceeding a \$250.00 value if:
    - (i) attendance serves a legitimate purpose associated with the member's duties;
    - (ii) the person extending the invitation, or a representative of the inviting organization is in attendance; and
    - (iii) the value is reasonable and the invitations infrequent.
  - 2) A member must, as soon as practicable, return to the donor any gift or personal benefit that does not comply with this Bylaw, along with an explanation as to why the gift or personal benefit cannot be accepted.

- 3) In the case of exceptions listed in subsections 21.1 b), c), e), f), g), and h), if the value of the gift or personal benefit exceeds \$250 or if the total value of gifts or personal benefits received from one source during the calendar year exceeds \$250, the member shall include the information required by subsection 2) on the annual disclosure statement.
- 4) For each gift or personal benefit that must be disclosed pursuant to subsection 3 either individually or as part of a cumulative total, the disclosure statement shall indicate:
  - a) the nature of the gift or personal benefit, by description, photograph, or both;
  - b) the estimated value of the gift or personal benefit;
  - c) the source of the gift or personal benefit; and,
  - d) the circumstances under which the gift or personal benefit was given or received.
- 5) A member must file an annual disclosure statement and submit it to the City Clerk not later than November 30<sup>th</sup> of each year outlining the information described in subsection 4 or stating that there is nothing to disclose.
- 6) Disclosure statements pursuant to subsection 5 will be a matter of public record in the prescribed format determined by the City Clerk.

# City Assets, Resources and Services

- 22. 1) A member must not use, or permit the use of, City land, facilities, equipment, supplies, services, staff or other resources for activities other than the business of the City.
  - 2) A member may use City assets that are generally available to the public on the same terms and conditions offered to the public, including complying with booking procedures and paying applicable fees.
  - 3) A member may use communication facilities, such as computers, telephones, email, and mobile devices, provided by the City for personal use provided that such use is not offensive or inappropriate, or would not cause harm to any person.
  - A member will comply with all information security procedures applicable to City staff and will not take any actions that may compromise the integrity or security of the City's information systems.
  - 5) A member must not attempt to obtain financial gain from any of the City's intellectual property and acknowledges that the City's intellectual property is owned by the municipal corporation.
  - 6) A member will maintain their constituency and City records in accordance with City policies and applicable laws.
  - Immediately prior to the end of their elected term of office, members will return City assets, including City records to the City Clerk in accordance with all relevant City policies.

- 9) Members of Council who do not complete a full term of office must return all City assets, including City records and electronic equipment as soon as reasonably possible to the City Clerk.
- 10) All emails or messages sent or received, files stored, and use of internet on City devices and through the City's firewall are subject to inspection, tracing, logging, *The Local Authority Freedom of Information and Protection of Privacy Act,* and any future investigations.
- 11) No member shall obtain financial gain from the use or sale of City-developed intellectual property, computer programs, technological innovations, or other patent, trademark, copyright held by the City.
- 12) No member shall use information gained in the execution of his or her duties that is not available to the general public for any purposes other than carrying out their official duties.

#### DIVISION V Elections and Campaign Work

# Communications

- 23. 1) Public events and advertising or media paid for through City budgets allocated for Council use or public events organized or sponsored by members using any City budgets or assets must be completed ninety (90) days before general voting day in a general election year.
  - 2) A member must not issue City-funded mass email distributions between nomination day and election day.
  - 3) A member must not use City postage or other resources for mass mailings of any kind between nomination day and election day.
  - 4) A member must not place City information updates in community newsletters or social media between nomination day and election day.
  - 5) A member must not use any City communications facilities or services for their campaign; this includes the use of media addresses, email addresses and distribution lists, the City's photo library, website development, writing or print material services.
  - 6) No member will refer to themselves in election campaign advertisements as "Councillor X" or "Mayor Y".
  - 7) A member may only use the City's website, social media, email, and phone number for official duties.

- 9) Website and email distribution lists that are used for official duties may not be used for campaign activities and communications.
- 10) Links are not allowed on City websites or external websites paid for by the City to a member's campaign website at any point in time during a term of office. This does not prevent the Returning Officer from providing links to campaign websites for all candidates on the Elections website.

#### **City Staff, Functions and Other Resources**

- 24. 1) No member shall use the services of City staff for election campaign related purposes during hours in which those City staff receive any compensation from the City.
  - 2) City staff shall not identify themselves as City staff while working on an election campaign.
  - 3) A member must not seek individual advice from City staff regarding election rules and processes, or regarding their obligations as candidates, including any obligations as candidates that may apply while the member holds office. Nothing restricts a member from receiving information available to all candidates for an election.
  - 4) City resources must not be used by a member or staff for election campaigns or for a fundraising event at any point during a term of office.
  - 5) Under no circumstances should member's offices be used to engage in election campaign related activities.
  - 6) City data must not be used by members for election campaigns or fundraising events unless those data sources are publicly available, and all fees associated with the use of the data have been paid for by the election campaign funds.
  - 7) Any election campaign related activities that occur in City Hall or any civic facility must take place in a location that is normally available for rental to the public and that has been arranged and paid for through the normal rental process.
  - 8) A member who is nominated to run for re-election must not participate in any Citysponsored events requiring them to perform official ceremonial duties between nomination day and election day.
  - 9) A member who is nominated to run for re-election must not wear the Mayor's Chain of Office at any event between nomination day and election day.
  - 10) A member must not use their office to gain an unfair advantage over other candidates, or to provide an unfair advantage for a candidate.

# **Other Elections**

- 25. 1) A member must not use City resources to promote or oppose the candidacy of any person to elected office in any municipal, provincial, or federal campaign.
  - 2) A member may choose to take an unpaid leave of absence to run for elected office of another government.
  - 3) During a period of leave under subsection 1), the member:
    - a) shall not receive any confidential agendas, communications or documents from the City;
    - b) shall receive copies of all public information;
    - c) shall not be required to return their City resources, but will not use them for any non-civic purpose; and
    - d) shall not be reimbursed for any mileage, travel expenses or similar expenses.

# PART IV Integrity Commissioner

#### **Appointment of Integrity Commissioner**

- 26. 1) Council shall appoint an Integrity Commissioner to undertake the duties and responsibilities assigned to that office pursuant to this Bylaw:
  - a) for a specified period of time;
  - b) on an ad hoc basis; or
  - c) jointly with another municipality or municipalities.
  - 2) The appointment of an Integrity Commissioner may be made, suspended, or revoked only if the majority of Council vote to do so.
  - 3) Council shall not dismiss an Integrity Commissioner except for cause.

#### Interim or Ad Hoc Appointment

- 27. 1) This section is applicable in the following circumstances:
  - a) if the City has not yet entered into a contract for the appointment of an Integrity Commissioner for a specified term;
  - b) in the interim period between the expiry of the appointment of one Integrity Commissioner and their re-appointment or the appointment of a new Integrity Commissioner;
  - c) if the appointed Integrity Commissioner is unable or unwilling to act; or
  - d) if the City appoints an Integrity Commissioner on an ad hoc basis.

- 2) In the circumstances described in subsection 1):
  - a) formal written complaints of a violation of this Bylaw shall be submitted to the City Clerk;
  - b) the City Clerk shall forward all complaints received subject to the circumstances described in subsection 1 to Council and the member identified in the complaint, in camera.
  - c) Upon receipt of a complaint under section 27, Council shall appoint an Integrity Commissioner having the necessary skills, knowledge, and experience to investigate the complaint, within thirty (30) business days.
  - d) Subject to an appointment under section 27, the Integrity Commissioner shall conduct an investigation in accordance with sections 28 and 35.

#### **Duties and Responsibilities**

- 28. 1) The Integrity Commissioner Shall:
  - a) subject to section 27, assess all written complaints to determine if there is jurisdiction to investigate, sufficient grounds for an investigation, opportunity for settlement or if the complaint is frivolous and vexatious.
  - b) investigate and conduct inquiries into contraventions of this Bylaw;
  - c) determine and report to an in camera Committee of the Whole as to whether a member is in contravention of this Bylaw;
  - d) make confidential recommendations to Council on whether to impose sanctions or require corrective actions if there is a contravention; and
  - e) publish a public annual report on the work of the Integrity Commissioner including examples in general terms of advice and advance rulings rendered and complaints received and disposed of.
  - 2) The Integrity Commissioner shall, if requested:
    - a) provide oral advice and written advance rulings and recommendations to members on questions of compliance with this Bylaw;
    - b) provide Council with specific and general advice on this Bylaw and issues of compliance with this Bylaw;
    - c) prepare written materials for distribution to, and use by, members regarding the role of the Integrity Commissioner and the ethical obligations and responsibilities of members under this Bylaw;
    - d) prepare written materials and content for the City's website for distribution to, and use by, the public, to aid in their understanding of the role of the Integrity Commissioner and the ethical obligations and responsibilities of members under this Bylaw;
    - e) deliver educational programs to members and staff regarding the role of the Integrity Commissioner and the ethical obligations and responsibilities of members under this Bylaw; or,

f) provide advice and recommendations to Council regarding amendments to this Bylaw.

#### Reliance on Integrity Commissioner's Advance Ruling

- 29. 1) If an Integrity Commissioner is currently appointed, a member may request that the Integrity Commissioner provide a written advance ruling and a recommendation on questions of compliance with this Bylaw.
  - 2) The Integrity Commissioner may make any inquiries they consider appropriate to provide the member with a written ruling and recommendations.
  - 3) If the conduct of a member is being investigated in a matter that was the subject of a written advance ruling or recommendations by the Integrity Commissioner, the member may rely on, and the Integrity Commissioner is bound by the ruling or recommendations by the Integrity Commissioner if:
    - a) the member disclosed all known specific facts to the Integrity Commissioner at the time of the request and those facts remain unchanged;
    - b) the member requested an advance ruling prior to taking any action; and
    - c) the member followed the advance ruling or recommendations.
  - 4) The City shall appoint a different, ad hoc Integrity Commissioner to deal with a specific complaint in the following circumstances:
    - a) if a complaint is received with respect to an issue that the member has received an advance ruling or recommendations from the appointed Integrity Commissioner;
    - b) the appointed Integrity Commissioner is not bound by the advance ruling or recommendations as the conditions in subsection 3) have not been met; and
    - c) the appointed Integrity Commissioner would not be able to fairly deal with the complaint because of the information already provided by the member.
  - 5) The Integrity Commissioner is not bound by oral advice given to any member.

#### PART V Complaints

#### Informal Complaint Resolution Process

30. 1) Any person, either individually or on behalf of an organization, who has identified or witnessed conduct by a member that the person believes is in contravention of this Bylaw may advise the member that the conduct violates the Bylaw and encourage the member to stop.

# Formal Request for Investigation

- 31. 1) Formal written complaints of a violation of this Bylaw shall be submitted to the City Clerk in the form in Schedule "A" or in any other form approved by the City Clerk, and the applicable Schedule "A" submission fee.
  - 2) The City Clerk shall forward all formal written complaints received to the Integrity Commissioner upon receipt of a complaint and the applicable submission fee.
  - 3) The Complaint must:
    - a) be in writing;
    - b) be dated and signed by an identifiable person; and
    - c) set out all of the grounds and information related to the violation including the date, time and location of the alleged violation, the name of the accused member, an explanation as to which provision of the Bylaw has been violated, and any evidence in support of the allegation including the names and statements of any witnesses.
  - 4) The Integrity Commissioner may investigate a complaint that does not comply with subsection 3) if, in the Integrity Commissioner's opinion, the circumstances warrant.
  - 5) Complaints or investigation within ninety (90) days prior to a municipal election shall be suspended until after election day.

# **Initial Complaint Classification**

- 32. 1) Given the broad nature of the obligations in this Bylaw and the potential for overlap with other legislative and common law requirements, complaints shall be directed, if possible, to another process or forum if another process or forum would be more appropriate for addressing the complaint.
  - 2) On receipt of a complaint pursuant to section 31, the Integrity Commissioner shall make an initial determination if the complaint is, on its face, a complaint with respect to non-compliance with this Bylaw.
  - 3) If a complaint is not, on its face, a complaint with respect to non-compliance with this Bylaw, or if a complaint would be more appropriately addressed through another process, the Integrity Commissioner or City Clerk, in the circumstances of subsection 27.1, shall advise the complainant in writing as follows:
    - a) if the complaint is an allegation of a criminal nature consistent with the *Criminal Code*, the complainant shall be advised that they must pursue the allegation with the appropriate police service;
    - b) if the complaint is with respect to non-compliance with *The Cities Act*, including sections 114 through 119 of *The Cities Act*, the complainant shall be advised that they must pursue the allegation pursuant to *The Cities Act*;

- c) if the complaint is with respect to non-compliance with a more specific Council Policy or Bylaw with a separate complaint procedure, the complainant shall be advised that they must pursue the allegation under that procedure;
- d) if the complaint is with respect to a matter that is subject to an outstanding complaint under another process such as a court proceeding, a Human Rights complaint or similar process, the investigation may be suspended pending the result of the other process;
- e) in other cases, the complainant shall be advised that the matter, or part of the matter, is not within the jurisdiction of the Integrity Commissioner to investigate, with any additional reasons and referrals that are appropriate.

#### **Frivolous Complaints**

33. 1) Subject to section 27, if the Integrity Commissioner is of the opinion that a complaint is frivolous, vexatious, or not made in good faith, or that there are no grounds or insufficient grounds for an investigation, an investigation shall not be conducted or if an investigation has begun, it shall be terminated.

#### Schedule "A" Submission Fees

- 34. 1) Schedule "A" submission fee's for:
  - a) residents are \$25.00
  - b) non-residents are \$100.00
  - 2) If the Integrity Commissioner is of the opinion that a complaint has sufficient grounds for investigation or insufficient grounds for an investigation but was reasonably made in good faith, Schedule "A" Submission Fees shall be reimbursed to the complainant.
  - 3) If the Integrity Commissioner is of the opinion that a complaint is frivolous, vexatious, or not made in good faith, Schedule "A" Submission Fees shall be retained by the City.

#### Investigation

- 35. 1) If a complaint is not directed to another process or forum pursuant to section 32 or rejected pursuant to section 33, the Integrity Commissioner shall investigate the complaint and may attempt to settle the complaint.
  - 2) The Integrity Commissioner shall:
    - a) serve the complaint and supporting material on the member whose conduct is in question with a request that a written response to the allegation by way of affidavit or otherwise be filed within ten (10) business days;
    - b) serve a copy of the response provided upon the complainant with a request for a written reply within ten (10) business days; and,
    - c) Upon notice to the complainant and the respondent, the Integrity Commissioner may extend the deadlines described in subsection 2.

- 3) If necessary, the Integrity Commissioner may:
  - a) speak to anyone relevant to the complaint; and
  - b) access any records relevant to the complaint, except a record that:
    - (i) contains information that is subject to solicitor-client privilege;
    - (ii) was prepared by or for legal counsel for the City in relation to a matter involving the provision of advice or other services by legal counsel; or
    - (iii) contains correspondence between legal counsel for the City and any other person in relation to a matter involving the provision of advice or other services by legal counsel.
- 4) The Integrity Commissioner shall not issue a report finding a violation of this Bylaw on the part of any member unless the member has had reasonable notice of the basis for the proposed finding and any recommended sanctions or corrective actions and an opportunity either in person or in writing to comment on the proposed finding and any recommended sanctions, or corrective actions.

#### **Investigation Report**

- 36. 1) Subject to subsection 4), the Integrity Commissioner shall report to the complainant and the member no later than sixty (60) business days after receipt of the complaint.
  - 3) Upon notice to the complainant and the respondent, the Integrity Commissioner may extend the deadlines described in subsection 1.
  - 4) Subject to subsection 5), if the Integrity Commissioner determines that this Bylaw has been violated and the complaint is substantiated in whole or in part, the Integrity Commissioner shall report to Council the findings and the terms of settlement, recommended sanctions, or corrective actions.
  - 5) If the Integrity Commissioner determines that this Bylaw has been violated and the complaint is substantiated in whole or in part, the Integrity Commissioner shall not report any recommended sanctions or corrective actions to the complainant.
  - 6) The Integrity Commissioner's report will be considered in camera by a Committee of the Whole.
  - 7) If the complaint is dismissed, other than in exceptional circumstances, the Integrity Commissioner shall not report to Council except as part of an annual or periodic report.
  - 8) Any recommended sanctions or corrective actions must be permitted in law and shall be designed to ensure that the inappropriate conduct does not continue.
  - 9) If the Integrity Commissioner determines that this Bylaw has been violated although the member took all reasonable measures to prevent it, or that a violation occurred that was trivial or committed through inadvertence or an error of judgement made in good faith, the Integrity Commissioner shall so state in the report and may recommend that no sanctions, or corrective actions be imposed.

- 37. 1) Council shall consider and respond to an investigation report from the Integrity Commissioner no more than thirty (30) business days after the report is first considered.
  - 2) Upon receipt of an investigation report from the Integrity Commissioner, Council may, in circumstances where the Integrity Commissioner has determined that this Bylaw has been violated, impose the sanctions and corrective actions recommended by the Integrity Commissioner or any other censure, sanctions and corrective actions allowed by law.
  - 3) Possible censure, sanctions or corrective actions may include the following:
    - a) a letter of reprimand addressed to the member;
    - b) requesting the member to issue a letter of apology;
    - c) publishing a letter of reprimand or request for apology and the member's response;
    - d) requiring the member to attend training;
    - e) suspending or removing the member from Council committees or other bodies;
    - f) suspending or removing the member from a position of chairperson of a Committee or the Whole or a Council appointed Committee.

# **Reprisals and Obstruction**

- 38. 1) No person shall obstruct the Integrity Commissioner in the carrying out of the Integrity Commissioner's duties or responsibilities.
  - 2) No person shall threaten or undertake any active reprisal against a person initiating an inquiry or complaint under this Bylaw, or against a person who provides information to the Integrity Commissioner in the context of an investigation.
  - 3) No person shall destroy documents or erase electronic communications related to a matter under investigation under this Bylaw or refuse to respond to the Integrity Commissioner when questioned regarding an investigation.

# Confidentiality

39. 1) Pursuant to section 27 and 31, the Integrity Commissioner will use all reasonable efforts to investigate complaints in confidence. The Integrity Commissioner and every person acting under the Integrity Commissioner's instructions shall preserve secrecy with respect to all matters that come to the Integrity Commissioner's knowledge in the course of any investigation or complaint except as required by law.

- 2) While an investigation report provided to Council may be considered in camera by a Committee of the Whole for the purposes of receiving advice including legal advice and deliberating on the report, when Council responds to a report, it shall do so in a public meeting and the report shall be available to the public.
- 3) An investigation report shall only disclose such matters as in the Integrity Commissioner's opinion are necessary for the purposes of the report.
- 40. 1) Bylaw Number 2022 and all amendments hereto, are hereby repealed.
- 41. 1) This Bylaw shall come into force and effect on the date of the final passing thereof.

Introduced and read a first time this 28<sup>th</sup> day of March, 2022.

Read a second time this 11<sup>th</sup> day of April, 2022.

Read a third time and adopted this 25<sup>th</sup> day of April, 2022.

<u>"David Gillan"</u> MAYOR

<u>"Stacey Hadley"</u> CITY CLERK



# CITY OF NORTH BATTLEFORD Schedule "A" Code of Ethics for Members of Council & Council Committees Formal Complaint Form

I \_\_\_\_\_ of \_\_\_\_\_

(First and Last Name)

(Full mailing address)

do solemnly swear, affirm, or declare that the following contents of this statement are true and correct, and hereby request that the appointed Integrity Commissioner for the City of North Battleford investigate this formal complaint and follow-up on whether or not the following member(s) of the City Council has (have) contravened the Code of Ethics:

*Member(s) of council name(s)* 

I have reasonable and probable grounds to believe that the above member(s) has (have) contravened the Code of Ethics by reason of the following:

- 1. insert date(s), time and location of conduct;
- 2. include the sections of this bylaw that have been contravened;
- 3. provide the particulars and names of all persons involved, and of all witnesses;
- 4. provide contact information for all people listed;
- 5. any exhibits can be attached; and
- 6. if more space is required, please attach additional pages as needed.

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I, North Battleford.	am a resident	non-resident	of
If a resident of North Battleford, w	hen did you move here? _		
(Residential Address if different th	nen above)		

(Email Address)

(Phone Number)

A Schedule "A" Submission Fee for residents is \$25 and \$100 for non-residents. The Schedule "A" Submission Fee must be received by the City for an investigation to proceed.

All Schedule "A" Complaint forms and Fees must be submitted to the City Clerk for formal submission to the Integrity Commissioner.

I \_\_\_\_\_\_understand that should my complaint be substantiated or deemed made in good faith by the Integrity Commissioner that the Schedule "A" Submission Fee will be returned to me following the conclusion of the investigation. I also understand that should the complaint be found by the Integrity Commissioner to be frivolous, vexatious, or not made in good faith that the Schedule "A" Submission Fee shall be retained by the City to help offset the costs associated with the investigation.

(Signature)				
(Signature of Complainant)		For Office Use Only Date filed)		
(Date signed)		Signature of City Clerk pursuant to Council & Committee Code of Ethics Bylaw)		

For any questions regarding the Council & Committee Code of Ethics Bylaw or how to submit a Schedule "A" Formal Complaint form, please contact the City Clerk at <u>cityclerk@cityofnb.ca</u> or at 306-445-1719.